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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/776,384	02/02/2001	Timothy Scott Hughbanks	SJO919940137US5	2240
75	590 08/04/2004		EXAM	INER
Ervin F. Johnston			CAO, ALLEN T	
International Bu	usiness Machines Corpora	ntion		
5600 Cottle Road			ART UNIT	PAPER NUMBER
San Jose, CA	95193		2652	
			DATE MAILED: 08/04/2004	4

Please find below and/or attached an Office communication concerning this application or proceeding.

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	Application No.	Applicant(s)					
	09/776,384 HUGHBANKS ET AL.						
Office Action Summary	Examiner	Art Unit					
	Allen T Cao	2652					
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet w	rith the correspondence address	,				
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
Status							
1) Responsive to communication(s) filed on <u>02 February 2001</u> .							
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This	This action is <b>FINAL</b> . 2b)⊠ This action is non-final.						
·	3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
closed in accordance with the practice under E	Ex parte Quayle, 1935 C.I	D. 11, 453 O.G. 213.					
Disposition of Claims							
4)⊠ Claim(s) <u>25-27</u> is/are pending in the application.							
4a) Of the above claim(s) is/are withdrawn from consideration.							
5) Claim(s) is/are allowed.							
7) Claim(s) is/are objected to.	6) Claim(s) 25-27 is/are rejected.						
8) Claim(s) are subject to restriction and/o	r election requirement.						
· · · · · · · · · · · · · · · · · · ·			•				
Application Papers			-				
9) The specification is objected to by the Examine		by the Eveniner					
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
Replacement drawing sheet(s) including the correct							
11) The oath or declaration is objected to by the Ex							
Priority under 35 U.S.C. § 119			•				
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:		§ 119(a)-(d) or (f).					
<ul> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> </ul>							
3. Copies of the certified copies of the priority documents have been received in Application No							
_ ,	application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list		t received.					
Attachment(s)							
1) Notice of References Cited (PTO-892)		Summary (PTO-413) (s)/Mail Date	Ţ				
<ol> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)         Paper No(s)/Mail Date <u>5/15/03 &amp; 6/7/03</u>.     </li> </ol>	_	Informal Patent Application (PTO-152)	E.				

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Art Unit: 2652

1. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

2. Claims 25-27 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 7 and 12-13 of U.S. Patent No. 6,081,409. Although the conflicting claims are not identical, they are not patentably distinct from each other because it is obvious to use method for forming the apparatus as claimed in the patent No. 6,081,409.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Allen T Cao whose telephone number is (703) 305-3796. The examiner can normally be reached on Mon - Thurs (7:30 - 6:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hoa T Nguyen can be reached on (703) 305-9687. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for

Status information for unpublished applications is available through Private PAIR only.

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For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

Allen Cao

**Primary Examiner** 

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AC July 22, 2004